

REMARKS

Claims 10 and 18-20 have been cancelled. Claims 1 and 14-17 have been amended to clarify the subject matter regarded as the invention. Claims 1-9 and 11-17 are pending.

The Examiner has rejected claims 1-9 and 11-17 under 35 USC 103(a) as being unpatentable over Tajalli in view of Shah.

The rejection is respectfully traversed. With respect to claims 1, 16, and 17 each has been amended to clarify that the normal and permitted “first behavior” of the monitored process is determined by parsing a file *created by the monitored process itself and used by the monitoring process* in normal operation to access one or more resources. Tajalli teaches a “behavior control description” created not by parsing a file created and used by a monitored process but instead included in a firewall as provided by the firewall vendor or created by third party “software vendors” or “consulting organizations”. Tajalli at [0045], [0052]. Shah describes learning an allowed behavior by observing a process in a controlled environment, not parsing a file created and used by a monitored process to determine one or more resources accessed by the monitored process in the course of such a normal operation. Shah, col. 33, lines 10-46. Monitoring the behavior of a process in a controlled environment and noting the resources it accesses is not the same as parsing a file created and used by such a process during a normal and permitted operation to determine such resources, as recited in claims 1, 16, and 17. Using the approach recited in claims 1, 16, and 17, the resources accessed by the monitored process in the course of the normal and permitted operation (first behavior) can be learned “without requiring a running instance of the process,” as taught by applicants at page 6, lines 7-9. Therefore, claims 1, 16, and 17 are believed to be allowable.

Claims 2-9 and 11-15 depend from claim 1 and are believed to be allowable for the same reasons described above.

The foregoing amendments are not to be taken as an admission of unpatentability of any of the claims prior to the amendments.

Reconsideration of the application and allowance of all claims are respectfully requested based on the preceding remarks. If at any time the Examiner believes that an interview would be helpful, please contact the undersigned.

Respectfully submitted,

Dated: 11/14/07



William J. James
Registration No. 40,661
V 408-973-2592
F 408-973-2595

VAN PELT, YI & JAMES LLP
10050 N. Foothill Blvd., Suite 200
Cupertino, CA 95014